

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.
8449-183-999

SERIAL NO.

To Be Assigned (Continuation of
Application No. 09/489,218)

APPLICANT

Pramod K. Srivastava

FILING DATE

On Even Date Herewith

GROUP

To Be Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JKT	AA	5,997,873	12/07/99	Srivastava			
JKT	AB	5,961,979	10/05/99	Srivastava			
JKT	AC	6,168,793	01/02/01	Srivastava et al.			
JKT	AD	5,985,270	11/16/99	Srivastava			
JKT	AE	5,935,576	08/10/99	Srivastava			
JKT	AF	6,048,530	04/11/00	Srivastava			
JKT	AG	6,030,618	02/29/00	Srivastava			
JKT	AH	6,017,544	01/25/00	Srivastava			
JKT	AI	4,690,915	09/01/87	Rosenberg			
JKT	AJ	5,188,964	02/23/93	McGuire et al.			
JKT	AK	5,232,833	08/03/93	Sanders et al.			
JKT	AL	5,288,639	02/22/94	Burnie et al.			
JKT	AM	5,348,945	09/20/94	Berberian et al.			
JKT	AN	5,750,119	05/12/98	Srivastava			
JKT	AO	5,830,464	11/03/98	Srivastava			
JKT	AP	5,837,251	11/17/98	Srivastava			
JKT	EG	09/412,420		Srivastava			10/05/99
JKT	EH	09/454,734		Srivastava			12/06/99
JKT	EI	09/657,722		Srivastava			09/08/00
JKT	EM	09/489,218		Srivastava			09/21/00

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JKT	AQ	WO 89/12455	12/28/89	PCT				
JKT	AR	WO 90/02564	03/22/90	PCT				
JKT	AS	WO 91/15572	10/17/91	PCT				
JKT	AT	WO 92/01717	02/06/92	PCT				
JKT	AU	WO 92/08484	05/29/92	PCT				
JKT	AV	WO 92/08488	05/29/92	PCT				
JKT	AW	WO 93/14118	07/22/93	PCT				
JKT	AX	WO 93/17712	09/16/93	PCT				

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JH	AY	WO 93/18146	09/16/93	PCT			
JH	AZ	WO 93/18147	09/16/93	PCT			
JH	BA	WO 93/18150	09/16/93	PCT			
JH	BB	WO 93/21529	10/28/93	PCT			
JH	BC	WO 93/24136	12/09/93	PCT			
JH	BD	WO 94/03208	02/17/94	PCT			
JH	BE	WO 94/03599	02/17/94	PCT			
JH	BF	WO 94/04676	03/03/94	PCT			
JH	BG	WO 94/11513	05/26/94	PCT			
JH	BH	GB 2 251 186A	07/01/92	United Kingdom			

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JH	BJ	Barrios et al. (1992) "Mycobacterial heat-shock proteins as carrier molecules. II: The use of the 70-kDa mycobacterial heat-shock protein as carrier for conjugated vaccines that can circumvent the need for adjuvants and <i>Bacillus Calmette Guérin</i> priming", <i>Eur. J. Immunol.</i> 22:1365-1372.
JH	BK	Barrios et al. (1994) "Specificity of antibodies induced after immunization of mice with the mycobacterial heat shock proteins of 65 kD", <i>Clin. Exp. Immunol.</i> 98:224-228.
JH	BL	Barrios et al. (1994) "Heat shock proteins as carrier molecules: <i>in vivo</i> helper effect mediated by <i>Escherichia coli</i> GroEL and DnaK proteins requires cross-linking with antigen", <i>Clin. Exp. Immunol.</i> 98:229-233.
JH	BM	Basombrio (1970) "Search for common antigenicities among twenty-five sarcomas induced by methylcholanthrene", <i>The Institute for Cancer Research</i> 30:2458-2462.
JH	BN	Bensaude et al. (1983) "Spontaneous high expression of heat-shock proteins in mouse embryonal carcinoma cells and ectoderm from day 8 mouse embryo", <i>EMBO J.</i> 2:173-177.
JH	BO	Blachere et al. (1993) "Heat Shock Protein Vaccines Against Cancer," <i>Journal of Immunotherapy</i> 14:352-356.
JH	BP	Blachere and Srivastava (1993) "Immunization with GP96 heat shock proteins isolated from tumors or influenza virus infected cells elicits MHC-restricted, antigen-specific cytotoxic T lymphocytes against the corresponding cells", <i>J. Cellular Biochem. Keystone Symposia NZ502</i> , p. 124.
JH	BQ	Boon (1992) "Toward a genetic analysis of tumor rejection antigens", <i>Advances in Cancer Research</i> 58:177-210.
JH	BR	Cohen (1993) "Cancer Vaccines Get A Shot In the Arm", <i>Science</i> 262:841-843.
JH	BS	Craig (1993) "Chaperones: Helpers Along the Pathways to Protein Folding", <i>Science</i> 260:1902-1904.
JH	BT	Ebert (1987) "Characterization of an immunosuppressive factor derived from colon cancer cells", <i>J. Immunol.</i> , 138(7):2161-2168.
JH	BU	Elliott et al. (1990) "Naturally Processed Peptides", <i>Nature</i> 348:195-197.
JH	BV	Falk et al. (1991) "Allele-specific Motifs Revealed by Sequencing of Self-peptides Eluted from MHC Molecules", <i>Nature</i> 351:290-296.
JH	BW	Falk et al. (1990) "Cellular Peptide Composition Governed by Major Histocompatibility Complex Class I Molecules", <i>Nature</i> 348:248-251.
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July 2000 Table 2-12-C6

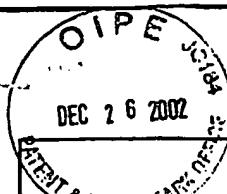
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<i>JJ</i>	CC	Globerson and Feldman (1964) "Antigenic specificity of benzo[a]pyrene-induced sarcomas", <i>Journal of the National Cancer Institute</i> 32(6):1229-1242.
<i>JJ</i>	CD	Heike et al. (1994) "Protective cellular immunity against a spontaneous mammary carcinoma from ras transgenic mice," <i>Immunobiology</i> 190(4-5):411-423.
<i>JJ</i>	CE	Huber et al. (1982) "Protease inhibitors interfere with the transforming growth factor-β-dependent but not the transforming growth factor-β-independent pathway of tumor cell-mediated immunosuppression", <i>J. Immunol.</i> 148(1):277-284.
<i>JJ</i>	CF	Jakob et al. (1993) "Small Heat Shock Proteins Are Molecular Chaperones", <i>J. Biol. Chem.</i> 268:1517-1520.
<i>JJ</i>	CG	Jardetzky et al. (1991) "Identification of Self Peptides Bound to Purified HLA-B27", <i>Nature</i> 353:326-329.
<i>JJ</i>	CH	Lakey et al (1987) "Identification of a peptide binding protein that plays a role in antigen presentation", <i>Proc. Natl. Acad. Sci. USA</i> 84:1659-1663.
<i>JJ</i>	CI	Lanzavecchia (1993) "Identifying Strategies for Immune Intervention", <i>Science</i> 260:937-944.
<i>JJ</i>	CJ	Levinson et al. (1979) "Metal Binding Drugs Induce Synthesis of Four Proteins in Normal Cells", <i>Biol Trace Element Research</i> 1:15-23.
<i>JJ</i>	CK	Lévy (1991) "ATP is Required for In Vitro Assembly of MHC Class I Antigens but Not for Transfer of Peptides across the ER Membrane", <i>Cell</i> 67:265-274.
<i>JJ</i>	CL	Li et al. (1994) "A critical contemplation on the role of heat shock proteins in transfer of antigenic peptides during antigen presentation", <i>Behring Institute Mitteliungen</i> 94:37-47.
<i>JJ</i>	CM	Li and Srivastava (1993) "Tumor rejection antigen gp96/grp94 is an ATPase: Implications for protein folding and antigen presentation", <i>EMBO J.</i> 12(8):3143-3151.
<i>JJ</i>	CN	Lindquist and Craig (1988) "The heat-shock proteins", <i>Ann. Rev. Genet.</i> 22:631-677.
<i>JJ</i>	CO	Luescher et al. (1991) "Specific Binding of Antigenic Peptides to Cell-associated MHC Clas I Molecules", <i>Nature</i> 351:72-77.
<i>JJ</i>	CP	Lukacs et al. (1993) "Tumor cells transfected with a bacterial heat-shock gene lose tumorigenicity and induce protection against tumors", <i>J. Exp. Med.</i> 178:343-348.
<i>JJ</i>	CQ	Lussow et al. (1991) "Mycobacterial heat-shock proteins as carrier molecules", <i>Eur. J. Immunol.</i> 21:2297-2302.
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<i>JJ</i>	CS	Maki et al. (1993) "Mapping of the Genes for Human Endoplasmic Reticular Heat Shock Protein gp96/grp94", <i>Somatic Cell Mol. Genetics</i> 19(1):73-81.
<i>JJ</i>	CT	Maki et al. (1990) "Human homologue of murine tumor rejection antigen gp96: 5'-Regulatory and coding regions and relationship to stress-induced proteins", <i>Proc. Natl. Acad. Sci. USA</i> 87:5658-5663.
<i>JJ</i>	CU	McCall et al. (1989) "Biotherapy: A New Dimension in Cancer Treatment", <i>Biotechnology</i> 7:231-240.
<i>JJ</i>	CV	Melnick (1985) "Virus Vaccines: An Overview", Proceedings of the First Annual Southwest Foundation for Biomedical Research International Symposium, Houston, Texas, 8-10 November 1984, <i>American Society for Microbiology</i> pp. 1-13.
<i>JJ</i>	CW	Mizoguchi et al. (1982) "Alternation in signal transduction molecules in T lymphocytes from tumor-bearing mice", <i>Science</i> 258:1795-1798.
<i>JJ</i>	CX	Nelson et al. (1992) "The Translation Machinery and 70 kd Heat Shock Protein Cooperate in Protein Synthesis", <i>Cell</i> 71:97-105.
<i>JJ</i>	CY	Palladino et al. (1987) "Expression of shared tumor-specific antigen by two chemically induced BALB/c sarcomas", <i>Cancer Research</i> 47:5074-5079.
<i>JJ</i>	CZ	Prehn and Main (1957) "Immunity to methylcholanthrene-induced sarcomas", <i>Journal of the National Cancer Institute</i> 18(6):769-778.
<i>JJ</i>	DA	Rothman (1989) "Polypeptide Chain Binding Proteins: Catalysts of Protein Folding and Related Processes in Cells", <i>Cell</i> 59:591-601.
<i>JJ</i>	DB	Rötzschecke et al. (1990) "Isolation and Analysis of Naturally Processed Viral Peptides as Recognized by Cytotoxic T cells", <i>Nature</i> 348:248-251.
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<i>JH</i>	DE	Srivastava et al. (1991) "Protein Tumor Antigens", <i>Curr. Opin. Immunol.</i> 3:654-658.
<i>JH</i>	DF	Srivastava et al. (March 1993) "Evidence for peptide-chaperoning by the endoplasmic reticular heat shock protein GP96: Implications for vaccination against cancer and infectious diseases", <i>J Cell Biochem Suppl</i> 17D:94 (Abstract NZ014).
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<i>JH</i>	DH	Srivastava et al. (1989) "Identification of a Human Homologue of the Murine Tumor Rejection Antigen GP96," <i>Cancer Res.</i> 49:1341-1343.
<i>JH</i>	DI	Srivastava et al. (1988) "Individually Distinct Transplantation Antigens of Chemically Induced Mouse", <i>Immunology Today</i> 9:78-83.
<i>JH</i>	DJ	Srivastava et al. (1987) "5'-Structural analysis of genes encoding polymorphic antigens of chemically induced tumors", <i>Proc. Natl. Acad. Sci. USA</i> 84:3807-3811.
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<i>JH</i>	DL	Srivastava and Maki (1991) "Stress-induced proteins in immune response cancer", <i>Microbiol. Immunol.</i> 167:109-123.
<i>JH</i>	DM	Srivastava and Heike (1986) "Tumor-specific immunogenicity of stress-induced proteins: Convergence of two evolutionary pathways of antigen presentation?", <i>Seminars in Immunology</i> 3:57-64.
<i>JH</i>	DN	Srivastava et al. (1986) "Tumor rejection antigens of chemically induced sarcomas of inbred mice", <i>Proc. Natl. Acad. Sci. USA</i> 83:3407-3411.
<i>JH</i>	DO	Srivastava (1991) "Tumor-specific Immunogenicity of Stress-induced Proteins: Covgence of Two Evolutionary Pathways of Antigen Presentation?", <i>Semin Immunol.</i> 1991 Jan;3(1):57-64.
<i>JH</i>	DP	Srivastava et al. (1994) "Heat Shock Proteins Transfer Peptides During Antigen Processing and CTL Priming", <i>Immunogenetics</i> 39:93-98.
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<i>JH</i>	DR	Szikora et al. (1990) "Structure of the gene of tum-transplantation antigen P35B presence of a point mutation in the antigenic allele", <i>EMBO J.</i> 9(4):1041-1050.
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<i>JH</i>	DU	Udono et al. (1993) "Heat Shock Protein 70-associated Peptides Elicit Specific Cancer Immunity", <i>J. Exp. Med.</i> 178:1391-1396.
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<i>JH</i>	DY	Vanbuskirk et al. (1989) "Peptide binding protein having a role in antigen presentation is a member of the hsp70 heat shock family", <i>J. Exp. Med.</i> 170:1799-1809.
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<i>JH</i>	EA	Vitanen et al. (1992) "Mammalian Mitochondrial Chaperonin 60 Functions as a single Toroidal Ring", <i>J. Biol. Chem.</i> 267:695-698.
<i>JH</i>	EB	Welch et al. (1982) "Purification of the Major Mammalian Heat Shock Proteins", <i>J. Biol. Chem.</i> 257:14949-14959.
<i>JH</i>	EC	Welch et al. (1985) "Rapid Purification of Mammalian 70,000-Dalton Stress Proteins: Affinity of the Proteins for Nucleotides", <i>Mol. Cell. Biol.</i> 5:1229-1237.

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<i>JPT</i>	EE	Young (1990) "Stress Proteins and Immunology", <i>Annu. Rev. Immunol.</i> 8:401-420.
<i>JPT</i>	EF	Yu et al. (1991) "Sequence Analysis of Peptides Bound to MHC Class II Molecules", <i>Nature</i> 353:622-627.
<i>JPT</i>	EJ	Maki (1991) "The Human Homologue of the Mouse Tumor Rejection Antigen GP96", Ph.D. thesis, Cornell University.
<i>JPT</i>	EK	Srivastava and Old (1989) "Gp96 Molecules: Recognition Elements in Tumor Immunity", <i>Human Tumor Antigens and Specific Tumor Therapy</i> , pages 63-71.
<i>JPT</i>	EL	Srivastava et al. (1990) "Immunization with Soluble Gp96 Antigens Elicits Tumor-Specific Cellular Immunity", <i>Cellular Immunity and the Immunotherapy of Cancer</i> , pages 307-314
EXAMINER	<i>Judy Sillie Tidwell</i>	
	DATE CONSIDERED <i>2-17-06</i>	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		



Sheet 1 of 1

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LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 8449-183-999	SERIAL NO. 09/992,613
APPLICANT Pramod K. Srivastava	
FILING DATE November 14, 2001	GROUP 1642

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JKT</i>	EN	10/180,592	Pramod K. Srivastava			6/25/02
<i>JKT</i>	EO	10/180,562	Pramod K. Srivastava			6/25/02
<i>JKT</i>	EP	10/180,593	Pramod K. Srivastava			6/25/02
<i>JKT</i>	EQ	10/180,563	Pramod K. Srivastava		JAN 02 2003	6/25/02

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FOREIGN PATENT DOCUMENTS

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	<i>Randy L. Edmiston</i>	DATE CONSIDERED	2-17-06
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Express Mail No.: EV 654 850 418 US

Sheet 1 of 1

		ATTY. DOCKET NO. 8449-183-999	APPLICATION NO. 09/992,613
		APPLICANT Srivastava	
		FILING DATE November 14, 2001	GROUP 1642

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JJT	ER	5,652,115	07/29/97	Marks <i>et al.</i>	435	7.23	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO

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JJT	EU	Halevy et al., 1990, "Different tumor-derived p53 mutants exhibit distinct biological activities," Science 250(4977):113-116
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JJT	EW	Hinds et al., 1987, "Immunological evidence for the association of p53 with a heat shock protein, hsc70, in p53-plus-ras-transformed cell lines," Mol. Cell Biol. 7(8):2863-2869
JJT	EX	Pinhasi-Kimhi, 1986, "Specific interaction between the p53 cellular tumour antigen and major heat shock proteins," Nature 320(6058):182-184

EXAMINER	<i>Judy Littin Edmed</i>	DATE CONSIDERED
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